



# UNITED STATES PATENT AND TRADEMARK OFFICE

MW

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
08/977,846	11/25/1997	JOHN O. RYAN	M-2338-3C-US	3572
25226	7590	02/06/2004	EXAMINER	
MORRISON & FOERSTER LLP 755 PAGE MILL RD PALO ALTO, CA 94304-1018			DIXON, THOMAS A	
			ART UNIT	PAPER NUMBER
			3629	

DATE MAILED: 02/06/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

08/977,846

Applicant(s)

RYAN, JOHN O.

Examiner

Thomas A. Dixon

Art Unit

3629

MLW

– The MAILING DATE of this communication appears on the cover sheet with the correspondence address –

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 12 November 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1 and 33-59 is/are pending in the application.
- 4a) Of the above claim(s) 2-32 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1, 33-42 and 45-59 is/are rejected.
- 7) ☒ Claim(s) 43 and 44 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. §§ 119 and 120**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All b) ☐ Some \* c) ☐ None of:  
1. ☐ Certified copies of the priority documents have been received.  
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  
\* See the attached detailed Office action for a list of the certified copies not received.
- 13) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application) since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.  
a) ☐ The translation of the foreign language provisional application has been received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121 since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.

**Attachment(s)**

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 39, 45, 46, 47, 49
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

**DETAILED ACTION**

***Response to Arguments***

1. In view of the Appeal Brief filed on 11-12-03 and IDS papers #39, filed 05/14/03, #45, filed 8/29/02, #46, filed 8/29/02, #47, filed 8/14/03, and #49, filed 7/29/03, PROSECUTION IS HEREBY REOPENED. The rejections are set forth below.

To avoid abandonment of the application, appellant must exercise one of the following two options:

- (1) file a reply under 37 CFR 1.111 (if this Office action is non-final) or a reply under 37 CFR 1.113 (if this Office action is final); or,
- (2) request reinstatement of the appeal.

If reinstatement of the appeal is requested, such request must be accompanied by a supplemental appeal brief, but no new amendments, affidavits (37 CFR 1.130, 1.131 or 1.132) or other evidence are permitted. See 37 CFR 1.193(b)(2).

2. Applicant's arguments on appeal filed 12 November 2003 have been fully considered and are convincing regarding the program storage capabilities of Nagashima, but they are not fully persuasive. The claims state storing data received in a broadcast, in the case of Nagashima, the broadcast contains both program and RDS data, the RDS data is stored, however applicant is convincing regarding the user interface for selecting and accepting selections from the set of menus.

***Claim Rejections - 35 USC § 101***

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

3. Claims 49-51 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. The claims mix apparatus and means for language and are therefore not statutory.

***Claim Rejections - 35 USC § 102***

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

4. Claims 1, 33-37, 49, 52, 54-56, 58-59 are rejected under 35 U.S.C. 102(b) as being anticipated by Lang (5,057,932).

As per Claim 1.

Lang ('932) discloses:

a tuner for receiving a broadcast signal, see figure 3 and column 11, lines 9-40;

a memory coupled to the tuner for storing data in the received broadcast signal in a database, see figure 3, (13), column 8, lines 38-50 and column 11, line 30 ;

a user interface for providing a set of menus describing the database, and for accepting selections from the set of menus, see column 11, lines 32-40 and column 8, lines 27-33;

a controller coupled to the memory and the user interface for selecting data from the database in response to the accepted selections and providing the selected data in a digital form, see figure 3 (14);

a speech producing sub-system coupled to the controller and the memory for converting the selected data from digital form to an analog signal, see figure 3 (12).

As per Claim 33.

Lang ('932) further discloses the memory stores the entire database, see column 8, lines 27-33.

As per Claim 34.

Lang ('932) further discloses the memory comprises a combination of volatile RAM and non-volatile memory, see figure 3 (13, 14).

As per Claim 35.

Lang ('932) further discloses non-volatile memories such as ROM, see figure 3 (14).

As per Claim 36.

Art Unit: 3629

Lang ('932) further discloses the received audio data has been converted from analog form to digital form, see figure 3 (A/D, D/A) and column 5, lines 51-53.

As per Claim 37.

Lang ('932) further discloses the received audio data is digitized and has been compressed, see figure 3 (A/D, D/A) and column 3, line 51 - column 5, line 50.

As per Claim 49.

Lang ('932) further discloses an amplifier connected to the speech producing sub-system for amplifying the analog signal, see column 11, lines 60-63.

As per Claim 52.

Lang ('932) further discloses a memory stores the data received in a random access memory up to the capacity of the random access memory before transferring said data to one of a disk medium or a tape medium, see column 9, lines 38-56.

As per Claim 54.

Lang ('932) further discloses disk medium is a magnetic disk, see column 6, line 28-39.

As per Claim 55.

Lang ('932) further discloses disk medium is a magnetic-optical disk, see column 6, line 28-39.

As per Claim 56.

Lang ('932) further discloses disk medium is an optical disk, see column 6, line 28-39.

As per Claim 58.

Lang ('932) discloses:  
receiving the information, see figure 3 and column 11, lines 9-40;  
storing the received information in a database, see column 11, line 30;  
providing a set of menus describing the database, see column 11, lines 32-40 and column 8, lines 27-33;  
accepting selections from the set of menus, see column 8, lines 27-33;  
providing the selected data in digital form, see column 8, lines 5-26; and  
converting the selected data to an analog signal, see column 8, lines 38-50.

As per Claim 59.

Lang ('932) further discloses the received information is transmitted by a broadcast signal, see figure 3.

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 38, 41-42 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lang ('932) in view of Rovira (WO 92/10040).

As per Claim 38.

Lang ('932) does not disclose the received audio data has been encrypted.

Rovira ('040) teaches conversion, compression and encryption of data are well known for the benefit of increased speed and security of data transmission, see page 12, lines 5-16.

Therefore, it would have been obvious to one of ordinary skill in the art, at the time the invention was made to digitize and encrypt the data transmission for the benefit of increased security of data transmission.

As per Claim 41.

Lang ('932) does not disclose a decryptor for decrypting the data.

Rovira ('040) teaches conversion, compression and encryption of data are well known for the benefit of increased speed and security of data transmission, see page 12, lines 5-16 and further a decryptor for decrypting, see page 14, lines 7-12 for the benefit of reversing the encryption, compression and conversion of the broadcast data.

Therefore, it would have been obvious to one of ordinary skill in the art, at the time the invention was made to decrypt the data transmission for the benefit of reversing the encryption, compression and conversion of the broadcast data.

As per Claim 42.

Lang ('932) further discloses a decompression algorithm for decompressing the data, see figure 3 (26).

6. Claims 39, 45-48, 50-51, 53, 57 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lang ('932) in view of Official Notice.

As per Claim 39.

Lang ('932) teaches an analog to digital and digital to analog converters are old and well known, see figure 3 (24, 25), but does not disclose or fairly teach the received data is alphanumeric data and has been converted from analog to digital form.

Art Unit: 3629

Official Notice is taken that it is old and well know to convert data from analog to digital, the type of data does not matter, further Atkinson "VCR programming: Making life easier using bar codes" and further Bensch "VPV – Videotext programs videorecorder" teaches use of alpha numerics in vcrs, for the benefit of making vcrs easier to set.

Therefore, it would have been obvious to one of ordinary skill in the art, at the time the invention was made to convert alphanumeric data to digital form for the benefit of easier setting of the vcr.

As per Claim 45.

Lang ('932) does not disclose the user interface is voice activated.

Official Notice is taken that speech recognition is old and well know as shown in Takahashi (4,682,368), column 2, lines 11-60 for the benefit of hands free operation of the device.

Therefore, it would have been obvious to one of ordinary skill in the art, at the time the invention was made to include a voice activated user interface as taught by Takahashi (4,682,368) for the benefit of hands free operation of the device.

As per Claim 46.

Lang ('932) does not disclose:

a manual input device adapted to be mountable on an automobile steering wheel; and

a link from the manual input device to the controller.

Official Notice is taken that control systems on automobile steering wheels are well known, as seen in Guenther et al (5,086,510) figure 4, for the benefit of better visibility of controls for the user.

Therefore, it would have been obvious to one of ordinary skill in the art, at the time the invention was made to mount controls on an automobile steering wheel and link it to the controller for the benefit of better visibility of the controls for the user.

As per Claim 47.

Lang ('932) does not disclose a control for determining the speed at which the speech output device outputs the analog signal.

Official notice is taken that it is old and well known to determine the speed at which the speech device output the output signal as can be seen in Benbassat et al (4,700,322) column 1, lines 28-50 for the benefit of synchronizing speech with the visualization of messages.

Therefore, it would have been obvious to one of ordinary skill in the art, at the time the invention was made to determine the speed at which the speech device output the output signal as taught by Benbassat et al (4,700,322) for the benefit of synchronizing speech with the visualization of messages.

As per Claim 48.

Art Unit: 3629

Lang ('932) does not disclose the tuner channel skips to tune to a particular transmitter.

Official Notice is taken that it is old and well known to skip channels to get to the desired transmitter, as seen in Whitby et al (GB 2 258 102) page 6, lines 13-21 for the benefit of presetting the device to access a specific transmitter.

Therefore, it would have been obvious to one of ordinary skill in the art, at the time the invention was made to skip channels to get to the desired transmitter, as taught by Whitby et al (GB 2 258 102) for the benefit of presetting the device to access a specific transmitter.

As per Claim 50.

Lang ('932) does not disclose connecting the receiving system to an automobile radio set.

Official Notice is taken that control systems on automobile are well known, as seen in Guenther et al (5,086,510) figure 4, for the benefit of better visibility of controls for the user.

Therefore, it would have been obvious to one of ordinary skill in the art, at the time the invention was made to mount controls on an automobile steering wheel and link it to the controller for the benefit of better visibility of the controls for the user.

As per Claim 51.

Lang ('932) does not disclose a hierarchy for the database.

Official Notice is taken that hierarchical databases are old and well known, as taught by Date "An introduction to Database Systems" in the database arts for structured storage.

Therefore, it would have been obvious to one of ordinary skill in the art, at the time the invention was made to create a hierarchical database as an old and well known method of structuring a database.

As per Claim 53.

Lang ('932) further discloses a tape medium and various digital media, see column 3, lines 51-56 and column 6, line 28-39, but does not disclose digital audio tape.

Official Notice is taken that it is old and well known to use standardized media for recording for the benefit of maximizing public acceptance of the product.

Therefore, it would have been obvious to one of ordinary skill in the art, at the time the invention was made to utilize digital audio tape or any other standard media for recording for the benefit of maximizing public acceptance of the product.

As per Claim 57.

Lang ('932) does not disclose a speed of transmission of the data in the broadcast signal is varied to most efficiently use the available bandwidth.

Official Notice is taken that it is old and well known in the network arts to vary transmission speeds to most efficiently use the available bandwidth.



Art Unit: 3629

Therefore, it would have been obvious to one of ordinary skill in the art, at the time the invention was made to vary the transmission of the broadcast signal to most efficiently use the available bandwidth.

***Allowable Subject Matter***

7. Claims 43-44 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

As per Claim 43.

Lang ('932) in view of Rovira ('040) does not disclose or fairly teach a key is received by the tuner.

As per Claim 44.

Lang ('932) in view of Rovira ('040) does not disclose or fairly teach a key device operatively connected to the decryptor.

***Prior Art Made of Record***

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Hallenbeck et al and Guenther et al teach an onscreen menu systems for television and auto radios.

**Conclusion**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Thomas A. Dixon whose telephone number is (703) 305-4645. The examiner can normally be reached on Monday - Thursday 6:30 - 4:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Weiss can be reached on (703) 308-2702. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-1113.

A handwritten signature in black ink, appearing to read "Thomas A. Dixon". The signature is stylized with a large, looped "D" and a cursive "X".

Thomas A. Dixon  
Examiner  
Art Unit 3629

January 30, 2004